

11-05-03



TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/627,360	
	Filing Date	25 July 2003	
	First Named Inventor	Yong N. Lee	
	Art Unit		
	Examiner Name		
Total Number of Pages in This Submission		Attorney Docket Number	8671/87902

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Form PTO-1449; and Copies of Listed Documents
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Richard J. Gurak, WELSH & KATZ, LTD.
Signature	
Date	4 November 2003

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the			
Typed or printed name	Daryl Jones		
Signature		Date	4 November 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yong N. Lee

Group No.:

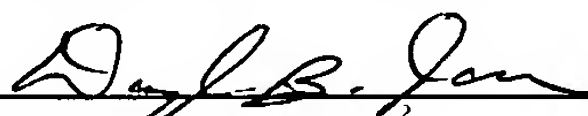
Serial No.: 10/627,360

Examiner:

Filed: 25 July 2003

For: THERMOELECTRIC
CHILLER/WARMER OF
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I hereby certify that this paper is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-0001, on this date:


Date: 4 November 2003
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Attorney
Docket No.: 8671/87902

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-0001

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants submit the following statement consisting of:

- I. A list of patents (and attached Form PTO-1449).
- II. General Remarks.

A copy of each of the following listed documents is enclosed herewith.

I. PATENTS

<u>U.S. Patent No.</u>	<u>Date of Issue</u>	<u>Patentee(s)</u>
4,531,381	06/1985	Toro
4,581,898	04/1986	Preis
4,870,837	10/1989	Weins

5,060,479

10/1991

Carmi

5,881,560

03/1999

Bielinski

II. GENERAL REMARKS

It is respectfully requested that the above-listed references be given consideration by the Examiner and made of record in the subject patent application.

Respectfully submitted,

WELSH & KATZ, LTD.

By

Richard J. Gurak
Reg. No. 41,050

4 November 2003
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Form PTO-1449 (Rev. 8-88)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 8671/87902		Serial No. 10/627,360		
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Applicant Yong N. Lee			Group No.	
				Filing Date: 25 July 2003				

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U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		4,531,381	06/1985	Toro			
		4,581,898	04/1986	Preis			
		4,870,837	10/1989	Weins			
		5,060,479	10/1991	Carmi			
		5,881,560	03/1999	Bielinski			

FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

Examiner	Date Considered
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*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.